



Receipt
#6

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Attorney Docket No.: SONY-50L2204.CON

I hereby certify that this transmittal of the below described document is being deposited with the United States Postal Service in an envelope bearing First Class Postage and addressed to the Commissioner of Patents and Trademarks, Box AF, Washington, D.C., 20231, on the below date of deposit.

Date of Deposit:	03/05/01	Name of Person Making the Deposit:	ANDREA MARTINEZ	Signature of the Person Making the Deposit:
------------------	----------	------------------------------------	-----------------	---

Inventor(s): Teruyoshi Komuro, Yoshitomo Osawa, Hisato Shima, and Tomoyuki Asano

Serial No.: 09/664,992

Group Art Unit: 2131

Filed: 09/18/00

Examiner:

Title: METHOD AND SYSTEM FOR TRANSFERRING INFORMATION USING AN ENCRYPTION MODE INDICATOR

Assistant Commissioner for Patents
Washington, D.C. 20231

RECEIVED
JUN 19 2001
Technology Center 2600

REQUEST FOR CORRECTED FILING RECEIPT

1. Attached is a copy of the official filing receipt received from the PTO in the above application for which issuance of a corrected filing receipt is respectfully requested.
2. There is an error with respect to the following data, which is:
 incorrectly entered
and/or
 omitted.

Error In

1. Applicant's name
2. Applicant's address
3. Title
4. Filing Data
5. Serial Number
6. Foreign/PCT Application Re:
7. Other

Correct Data

- 1.
- 2.
3. METHOD AND SYSTEM FOR TRANSFERRING INFORMATION USING AN ENCRYPTION MODE INDICATOR
- 4.
- 5.
- 6.
- 7.



3.

A. The correction(s) is/are not due to any error by the Applicant and therefore no fee is due.

OR

B. At least one of the above corrections is due to applicant's error and the fee therefor, under CFR 1.19(h), of \$25.00 is paid as follows:

Enclosed is check for \$25.00.

Charge Account 23-0085 \$25.00.

Please direct all correspondence concerning the above-identified application to the following address:

WAGNER, MURABITO & HAO LLP
Two North Market Street, Third Floor
San Jose, California 95113
(408) 938-9060

Respectfully submitted,

Date:

3/5/2001

By:

Anthony C. Murabito
Reg. No. 35,295

MAR 12 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/664,992	09/18/2000	2131	820	SONY-50L2204CON	10	20	3

Wagner Murabito & Hao
 Two North Market Street
 Third Floor
 San Jose, CA 95113

FILING RECEIPT



OC000000005764286

Date Mailed: 02/14/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Teruyoshi Komuro, Kawasaki-shi, JAPAN;
 Yoshitomo Osawa, Yokohama-shi, JAPAN;
 Hisato Shima, Saratoga, CA ;
 Tomoyuki Asano, Yokosuka-shi, JAPAN;

Continuing Data as Claimed by Applicant

THIS APPLICATION IS A CON OF 08/957,051 10/24/1997

Foreign Applications

If Required, Foreign Filing License Granted 11/09/2000

Title

Method and system for transferring information using an encryption mode indicator

Preliminary Class

380



RECEIVED

FEB 20 2001

Data entry by : HARDY, EUGENIA

Team : 1700

Date: 02/14/2001



MAR 12 2001

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

MAR 12 2001

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

FILE COPY



CONFIRMATION NO. 5771

Bib Data Sheet

SERIAL NUMBER 09/664,992	FILING DATE 09/18/2000 RULE	CLASS 380	GROUP ART UNIT 2131	ATTORNEY DOCKET NO. SONY- 50L2204CON
-----------------------------	-----------------------------------	--------------	------------------------	---

APPLICANTS

Teruyoshi Komuro, Kawasaki-shi, JAPAN;
 Yoshitomo Osawa, Yokohama-shi, JAPAN;
 Hisato Shima, Saratoga, CA;
 Tomoyuki Asano, Yokosuka-shi, JAPAN;

**** CONTINUING DATA *******

THIS APPLICATION IS A CON OF 08/957,051 10/24/1997 PAT 6,223,285

**** FOREIGN APPLICATIONS *********IF REQUIRED, FOREIGN FILING LICENSE**

GRANTED ** 11/09/2000

Foreign Priority claimed	<input type="checkbox"/> yes <input type="checkbox"/> no	STATE OR COUNTRY JAPAN	SHEETS DRAWING 10	TOTAL CLAIMS 20	INDEPENDENT CLAIMS 3
35 USC 119 (a-d) conditions met	<input type="checkbox"/> yes <input type="checkbox"/> no <input type="checkbox"/> Met after Allowance				
Verified and Acknowledged	Examiner's Signature _____ Initials _____				

ADDRESS

Wagner Murabito & Hao
 Two North Market Street
 Third Floor
 San Jose ,CA 95113

TITLE

Method and system for transferring information using an encryption mode indicator

FILING FEE RECEIVED 820	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:	<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit
----------------------------	---	---